



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspic.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

20999

7590

03/31/2004

FROMMER LAWRENCE & HAUG 745 FIFTH AVENUE- 10TH FL. NEW YORK, NY 10151 EXAMINER

GUZO, DAVID

ART UNIT PAPER NUMBER

1636

DATE MAILED: 03/31/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/000 (10	11/08/2001	Patrick Aphischer	674523-2013	2486

TITLE OF INVENTION: LENTIVIRAL-MEDIATED GROWTH FACTOR GENE THERAPY FOR NEURODEGENERATIVE DISEASES

1	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
•	nonprovisional	YES	\$665	\$300	\$965	06/30/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

□ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u>

(703) 746-4000

INSTRUCTIONS: This for appropriate. All further cor indicated unless corrected I maintenance fee notification	respondence including the P below or directed otherwise	mitting the ISSUE atent, advance ord in Block 1, by (a)	E FEE and PUBLIC ers and notification specifying a new co	ATION FEE (if req of maintenance fees rrespondence addres	uired). Blocks 1 through 4 s will be mailed to the current s; and/or (b) indicating a sep-	hould be completed where correspondence address as arate "FEE ADDRESS" for	
	CE ADDRESS (Note: Legibly mark-up	with any corrections or u		Fee(s) Transmittal. T	of mailing can only be used finis certificate cannot be used final paper, such as an assignment of mailing or transmission.	for any other accompanying	
				have its own certificate of mailing or transmission. Certificate of Mailing or Transmission I hereby certify that this Fec(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being facsit transmitted to the USPTO, on the date indicated below.			
						(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		IRST NAMED INVEN	TOP	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/008,610	11/08/2001		Patrick Achischer		674523-2013	2486	
•	ENTIVIRAL-MEDIATED (GROWTH FACTO	R GENE THERAPY	FOR NEURODEGE	ENERATIVE DISEASES		
APPLN. TYPE	SMALL ENTITY	ISSUE FE	E PU	BLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$665		\$300	\$965	06/30/2004	
EXAM	MINER	ART UNI	T CL	CLASS-SUBCLASS	7	· · · · · · · · · · · · · · · · · · ·	
GUZO,	DAVID	1636		424-093200			
Address form PTO/SB/1 "Fee Address" indicat PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME ANI	ion (or "Fee Address" Indicate or more recent) attached. Use D RESIDENCE DATA TO Be an assignce is identified belied to the USPTO or is being s	ion form of a Customer E PRINTED ON Tow, no assignee da ubmitted under sep	agents OR, alternatirm (having as a agent) and the nate attorneys or agent will be printed. HE PATENT (print of the will appear on the	patent. Inclusion of ion of this form is NO	assignce data is only appropri	ate when an assignment has signment.	
Places should the environment	e assignee category or categor	riae (will not ba pri	nted on the natent):	Dindividual E	corporation or other private g	roup entity	
4a. The following fee(s) are			Payment of Fee(s):		-F	<u>, , , , , , , , , , , , , , , , , , , </u>	
☐ Issue Fee			☐ A check in the am	ount of the fec(s) is c	nclosed.		
☐ Publication Fee ☐ Payment by credit card. Form PTO-2038 is attached.							
☐ Advance Order - # of Copies ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, Deposit Account Number (enclose an extra copy of this form).							
Director for Patents is reque	ested to apply the Issue Fee ar	nd Publication Fee	(if any) or to re-apply	any previously paid	issue fee to the application ide	entified above.	
(Authorized Signature)	***	(Date)					
other than the applicant; interest as shown by the re	d Publication Fee (if require a registered attorney or age ecords of the United States Pa	ent; or the assigne tent and Trademark					
estimated to take 12 minicompleted application for case. Any comments on suggestions for reducing Patent and Trademark 22313-1450 DO NOT	ation is required by 37 CFR by the public which is to fit ty is governed by 35 U.S.C. I tes to complete, including grin to the USPTO. Time will the amount of time you re this burden, should be sent to Office, U.S. Department of SEND FEES OR COMPLE r for Patents, Alexandria, Virgin the public will be sent to the complete of the compl	athering, preparing, il vary depending to require to complet to the Chief Inform of Commerce, A	ation is required to PTO to process) an 4. This collection is and submitting the upon the individual e this form and/or nation Officer, U.S. lexandria, Virginia THIS ADDRESS.				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.gov ATTORNEY DOCKET NO. CONFIRMATION NO. 674523-2013 2486

10/008,610 20999

NEW YORK, NY 10151

APPLICATION NO.

FIRST NAMED INVENTOR

Patrick Aebischer

EXAMINER

PAPER NUMBER

GUZO, DAVID

03/31/2004

FROMMER LAWRENCE & HAUG 745 FIFTH AVENUE- 10TH FL.

FILING DATE

11/08/2001

ART UNIT

1636

DATE MAILED: 03/31/2004

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 21 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 21 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.